ECPR: Environment-and context-aware combined power and rate distributed congestion control for vehicular communications

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\begin{abstract}
Safety and efficiency applications in vehicular networks rely on the exchange of periodic messages between vehicles. These messages contain position, speed, heading, and other vital information that makes the vehicles aware of their surroundings. The drawback of exchanging periodic cooperative messages is that they generate significant channel load. Decentralized Congestion Control (DCC) algorithms have been proposed to minimize the channel load. However, while the rationale for periodic message exchange is to improve awareness, existing DCC algorithms do not use awareness as a metric for deciding when, at what power, and at what rate the periodic messages need to be sent in order to make sure all vehicles are informed. We propose an environment- and context-aware DCC algorithm combines power and rate control in order to improve cooperative awareness by adapting to both specific propagation environments (e.g., urban intersections, open highways, suburban roads) as well as application requirements (e.g., different target cooperative awareness range). Studying various operational conditions (e.g., speed, direction, and application requirement), ECPR adjusts the transmit power of the messages in order to reach the desired awareness ratio at the target distance while at the same time controlling the channel load using an adaptive rate control algorithm. By performing extensive simulations, including realistic propagation as well as environment modeling and realistic vehicle operational environments (varying demand on both awareness range and rate), we show that ECPR can increase awareness by 20\% while keeping the channel load and interference at almost the same level. When permitted by the awareness requirements, ECPR can improve the average message rate by 18\% compared to algorithms that perform rate adaptation only.
\end{abstract}

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1. Introduction

The U.S. Department of Transportation announced that connected road vehicles will be mandated by 2017 [1]. As such, wireless communication technologies have been studied in order to enable reliable connected vehicles across any of operating conditions. One promising solution is vehicular ad hoc networks (VANETs), which has been actively studied over past several decades [2–4]. The key building block for enabling many safety applications in VANETs is cooperative awareness. The main premise for cooperative awareness is that by knowing their operating environment, vehicles and their drivers are going to be better equipped for decision-making in hazardous situations (e.g., emergency braking) and more adept at finding better routes to their destination (e.g., avoiding congested roads). To enable cooperative awareness, vehicles use periodic message exchanges (also referred to as “beaconing”) in order to exchange position, speed, heading, and other vital information that makes the vehicles aware of their surroundings. Such cooperative awareness is used to enable safety applications, such as intersection collision warning, accident warning, and emergency braking [5]. Since they are sent periodically by all vehicles, beacons are envisioned to occupy a large proportion of the channel time [6]. Decentralized Congestion Control (DCC) algorithms can be used to control the number of beacons and other messages transmitted across the channel. Typically, DCC approaches in VANETs are classified as: (1) rate control; (2) power control; and (3) combined rate and power control. Rate control algorithms adapt the message rate, i.e., number of packets per unit time that a vehicle can transmit, where the rate is often adapted based on the channel load information. Power adaptation algorithms use transmit power control to limit the range over which a message is broadcast, thus effectively controlling the channel load. Combined algorithms employ the previous two types of control by applying both rate
control to reduce the number of messages and power control to
limit their range.

In recent years, there have been a number of works on DCC ap-
proaches proposed for VANETs. Since the standardization of DCC
is vital for interoperability and performance of vehicle-to-X (V2X)
communications, there continues to be ongoing research on DCC
in various standardization bodies and special interests consortia (e.g.,
within European Telecommunications Standards Institute (ETSI)
and as part of the Car-to-Car Communications Consortium) aimed
at performance evaluation and providing a unified cross-layer DCC
framework [6–10]. One example of a metric that is often used is
the channel busy ratio (CBR), defined as the proportion of channel
time that is deemed occupied by an ongoing transmission. Bansal
et al. devised an algorithm called the Linear Message Rate Inte-
grated Control (LIMERIC) [11], a rate control algorithm that adapts
the message rate by using CBR measurements in a linear manner
(e.g., proportional to the change of CBR). The authors prove that
the convergence of LIMERIC yields fair and efficient channel util-
zation. Tielert et al. [12] proposed an algorithm called PULSAR
(Periodically Updated Load Sensitive Adaptive Rate control), which
uses piggybacked two-hop CBR information and additive increase
multiplicative decrease method (AIMD) in order to achieve bet-
ter channel utilization and max-min fairness. The approaches de-
scribed above used linear rate adaptation. A simpler approach to
rate control is to increase/decrease the rate based on, for example,
the CBR being above or below a preset threshold. This approach is
freely referred to as binary rate control. One example of a bi-
nary rate control algorithm is Context-Aware Rate Selection (CARS)
by Shankar et al. [13]. Egea-Lopez and Pavon-Marino [14] re-
formulated the congestion control problem as a network utility
maximization problem and design fair adaptive beaconing rate
for intervehicular communications (FABRIC), a proportionally fair
binary rate control algorithm. The required message rate may
change depending on the situation. To deal with these differ-
ences, Joer et al. [15] perform rate adaptation by considering the
context.

Power adaptation algorithms use transmit power control to
limit the range over which a message is broadcasted, thus effec-
tively controlling the channel load. Torrent-Moreno et al. [16] de-
sign a power control algorithm aimed at ensuring bandwidth
allocation for high-priority event-based messages (e.g., for safety
applications), whereas Mittag et al. [17] elaborated on the same
algorithm by introducing segment-based power control with the
goal of reducing overhead. By testing the solution on homoge-
nous vehicular traffic densities and imperfect channel informa-
tion, the authors demonstrated the effectiveness of their algorithm.
Caizzone et al. [18] proposed an algorithm that adapts transmit
power depending on the number of neighbors, where the trans-
mittance power is increased in case the number of neighbors is under
the threshold or vice versa. Regarding combined power and rate
adaptation algorithms, Le et al. [19] evaluated rate-only, power-
only, and combined rate and power control algorithms. By per-
forming extensive simulations, the authors identified which of the
algorithms is preferable for a specific scenario and application re-
quirement. Kloiber et al. [20] introduced a random transmission
power assignment in order to make correlated packet collisions
more uncorrelated in space. Authors in [21–23] define the DCC
problem as a state machine to perform transmission power con-
trol. Khorakhun et al. [24] combined the binary rate adaptation
with transmit power control, where the increase/decrease of trans-
mmit power is defined with a parameter chosen based on CBR. Tiel-
ert et al. [25] adapted the transmit power and rate with respect to
the target transmission distance and channel conditions by using
Pareto optimal parameter combinations. The authors point out that
there is a need for further study involving variable channel con-
ditions, including dynamic transitions between line-of-sight (LOS)
and non-LOS conditions, which was experimentally shown to have
a profound impact on communication performance, and with sig-
nificant real-world effect on congestion control algorithms [26].

Since congestion control is inherently a cross-layer issue, with
the need for implicit or explicit coordination between applica-
tions, transport-, network-, and access-layer algorithms, there have
been studies looking at the cross-layer congestion control (e.g.,
Kovacs et al. [27] and ETSI specialist task force work on cross-
layer DCC [6]). In terms of using awareness to adjust the para-
eters (power and rate) of congestion control algorithms, Gozalvez
and Sepulcre proposed OPRAM [28], an opportunistic transmis-
sion power control algorithm that increases the transmit power
of messages in critical situations (e.g., before intersections). How-
ever, in order to function properly, apart from precise location in-
formation, such as from GPS transmissions, OPRAM requires a pri-
ori knowledge about geographical regions that are accident-prone.
Kloiber et al. [29,30] used awareness quality as a metric and em-
ploy a random transmit power for messages with a goal of reduc-
ing interference. Huang et al. [31] perform power and rate adap-
tation mechanisms independently, whereas the proposed mecha-
nism, environment-and context-aware combined power and rate
(ECPR), proactively considers the effect of power adaptation on
rate adaptation and vice versa such that it can adapt the mecha-
nisms more efficiently at the next calculation step. Another differ-
ence is that Huang et al. performed rate adaptation based on
potential tracking error resulting from the difference between ac-
tual and estimated states. This approach might be challenging to
use in practice since it is hard to precisely obtain the actual state
at each algorithm step. ECPR performs rate adaptation based on
the channel utilization limit defined in the standards. Sepulcre
et al. [32] proposed the integration of congestion and awareness
control (INTERN), which adjusts transmit power based on the pre-
valing application context (target dissemination distance set by
applications) alone, without considering the surrounding environ-
ment. Countless measurement studies have shown that the sur-
rroundings and vehicle traffic significantly affect the range, thus
making it difficult to separate the target application range from the
propagation environment restrictions. Frigau et al. [33] controlled
the transmission range using the transmission power as well as the
beacon generation range based on beacon reception rate. Nasiri-
ani et al. [34] performed a similar power control mechanism and
combined it with rate control based on the channel utilization.
Jose et al. [35] defined the power adaptation as a joint Lagrangian
optimization and rate adaptation approach. These approaches, as
well as those specified in [36], combined power and rate adapta-
tion without their combined operation. However, the value that the
power control approach decides upon may cause a negative effect
on the message rate control mechanism, and vice versa.

In order to enable safe and efficient cooperative vehicular com-
munications, several technical challenges associated with VANETs
include the following:

- Diverse interference caused by the other networks decreases
  vehicles communication efficiency.
- Beacons and other messages cause increased overhead across
  the control channels.
- Dynamic environments need various control mechanisms. For
  example, if the message rate is fixed to a low value, this causes
  under-utilization in low density environments. Conversely, if
  the message rate is set to a high value, the vehicles may over-
  load the channel in high density traffic scenarios, thus causing
  collisions.
- Each vehicle can have its own target awareness distance and
  target message rate. However, the current state-of-the-art does
  not provide a practical solution for both distributed and coher-
  ent adaptation.
In this paper, we propose a transmit power control approach designed to achieve cooperative neighborhood awareness for vehicles, while the rate control is subsequently employed to utilize the available resources. Specifically, we propose an algorithm called ECPR (Environment- and Context-aware Combined Power and Rate Distributed Congestion Control for Vehicular Communication), which is a combined power and rate control DCC algorithm that aims to improve the cooperative awareness for challenging environments, while at the same time increasing the message rate when the environment and application requirements permits. To comply with target channel load/capacity requirements, ECPR employs an adaptive rate control algorithm. In this work, we use LIMERIC [11], a state-of-the-art adaptive rate control algorithm, although other adaptive rate control algorithms could serve the same purpose. We performed simulations with ECPR in an experimentally validated simulation tool [37] and showed that it can provide gains in terms of awareness or throughput in realistic propagation environments. The proposed mechanism is briefly presented in ETSI 101 613 [38].

Compared to current state-of-the-art, the main contributions of our work are:

- A practical algorithm to incorporate awareness – a key building block for VANET applications – as a core metric for congestion control in VANETs. ECPR proactively considers the effect of power adaptation on rate adaptation and vice versa, so that it can adapt the mechanisms more efficiently at the next algorithm step.
- By adjusting the transmit power based on the awareness criterion, we enable: (i) congestion control adaptation to the dynamic propagation environment surrounding vehicles; and (ii) effective adaptation of cooperative awareness range based on the application context, including requirements of different safety and non-safety applications, speed of vehicles, and different traffic conditions per direction.
- By combining rate and awareness control, the proposed algorithm can achieve one of the following goals: (i) improved channel utilization (in terms of the overall number of messages exchanged) for a given awareness rate; or (ii) improved cooperative awareness for a given channel utilization.

We perform extensive simulations including both realistic propagation and environment modeling (e.g., large- and small-scale fading parameters, dynamic transitions between LOS and non-LOS links based on real building and vehicle locations) as well as realistic vehicle contexts (varying demand on both awareness by range and rate). We show that ECPR increases awareness by up to 20% while keeping the channel load within reasonable bounds and interference at almost the same level. When the target awareness distance permits it, our proposed algorithm improves the average message rate by approximately 18%, while keeping the target awareness.

The rest of the paper is organized as follows: In Section 2, we describe the problem, provide several real-world scenarios, and define the metrics for evaluation of DCC algorithms. In Section 3, we describe our proposed DCC approach. In Section 4, we discuss experiment results, and several concluding remarks are made in Section 6.

2. Environment- and application context-aware congestion control

The work presented in this paper aims at designing a novel DCC solution for V2V communication that can satisfy the target awareness levels for different application contexts in different realistic propagation environments. As noted earlier, cooperative awareness is vital for VANETs since many applications need to be aware of neighboring vehicles to trigger the correct type of action for avoidance of hazardous situations (e.g., accident prevention). To that end, in this section we discuss the main design goals for DCC algorithm and introduce metrics we use for evaluation of the algorithms.

2.1. Design goals

To obtain acceptable performance in terms of cooperative awareness, DCC algorithms need to take into account the following aspects:

- Application context, determined by vehicular traffic conditions and application constraints, yields the requirements in terms of rate (amount of data) and communication and awareness range. Based on the application context, the DCC algorithm needs to distribute the available channel resources in a fair way (fair both in terms of achieved awareness and rate).
- Due to varying vehicular traffic density and mobility, the network topology is highly dynamic and depends on the time of day, type of road and other features [40,41]. The DCC algorithm needs to be adaptive with respect to network dynamics at a rate higher than the rate of change of network.
- The propagation environment where vehicular communication occurs can be highly varying, even within a relatively small area. Environment characteristics of urban, suburban and rural areas create different challenges for congestion control and awareness [42]. The environment creates effects similar on network topology to that of varying traffic density and mobility, albeit with geographically constrained dynamics.
- In addition to the effect of static objects near the road, surrounding vehicles also introduce significant variation in the reception probability and network topology. Depending on vehicle size, a vehicle can completely block the communication between two other vehicles [43]. Hence, a vehicle on a highway with dense traffic (e.g., morning rush hour) will have larger number of neighbors and a limited communication range due to the obstruction by surrounding vehicles; on the same highway during late of night, a vehicle will have fewer neighbors and an increased range. The DCC algorithm should be able to adapt to such variations.
- Electromagnetic emission regulations, limited channel resources, and potentially high number of communicating entities (including vehicles and roadside units) create practical limits on the ability to control the power and rate parameters.

Fig. 1 shows how the physical environment affects the awareness range [44], whereas Fig. 2 shows how the application context requirements affect the target awareness range. In reality, there will exist numerous scenarios where the effects of the environment and application context will be combined, with the applications setting the awareness and rate requirements and the environment shaping the awareness range. Our goal in this study is to design a DCC solution that can efficiently support the functioning of safety and non-safety applications in diverse and dynamic VANET scenarios.

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2 We use the term “application requirements” to encompass the effects that determine the rate and awareness requirements for a vehicle (e.g., speed, traffic conditions, and currently active application).
One of the main goals of cooperative awareness is to enable drivers/vehicles to enhance their knowledge of the environment in order to augment the information that they can obtain visually. To that end, cooperative message exchange mechanisms need to ensure that vehicles are aware of other relevant vehicles within the same geographical proximity, including those that are in non-LOS conditions. However, achieving this goal efficiently is a challenge since environments where vehicular communication occurs are quite diverse. For example, the transmit power required to send a message to a vehicle in an open environment (e.g., highway scenario) at a certain distance will likely be much lower than the power required to send a message to a vehicle at the same distance in a non-LOS environment (e.g., urban scenario) as shown in Fig. 3.

To evaluate cooperative awareness in vehicular environments, we use two metrics introduced in previous work [42]: Neighborhood Awareness Ratio (NAR) and Ratio of Neighbors Above Range (RNAR). For completeness, we define these metrics as follows:

- **NAR**: The proportion of vehicles in a specific range from which a message was received in a defined time interval. Formally, for vehicle $i$, range $r$, and time interval $t$, 
  \[ \text{NAR}_{i,r,t} = \frac{N_D_{i,r,t}}{N_{I,r,t}} \]
  where $N_D_{i,r,t}$ is the number of vehicles within $r$ around $i$ from which $i$ received a message in $t$ and $N_{I,r,t}$ is the total number of vehicles within $r$ around $i$ in $t$ (we use $t = 1 \text{ s}$). This metric measures the ability of cooperative message exchange to fulfill its purpose: enable cooperative awareness.

- **RNAR**: For a vehicle $i$, range $r$, and time interval $t$, the ratio of neighbors that are above a certain distance from the observed vehicle
  \[ \text{RNAR}_{i,r,t} = \frac{N_A_{i,r,t}}{N_{I,r,t}} \]
  where $N_A_{i,r,t}$ is the number of vehicles above $r$ from which $i$ received a message in $t$ (again, we use $t = 1 \text{ s}$) and $N_{I,r,t}$ is the total number of vehicles from which $i$ received a message in $t$ (irrespective of $r$). This metric gives an indication of potentially unnecessary traffic overheard from distant neighbors (i.e., those that are not relevant for current

![Fig. 1. An example of how environment shapes the awareness range. Due to the particular environment layout, with buildings surrounding the intersection, if it is using fixed transmit power, vehicle X is likely to inform the vehicles on the same road of its existence, with a limited awareness of vehicles on the perpendicular road, up until X is in the intersection, at which point vehicles on both roads are likely to be aware of it. However, for active safety applications, awareness of vehicles on perpendicular road is more valuable than that on the same road, since the drivers of those vehicles cannot see vehicle X. Thus, for most VANET applications, it is assumed that the target awareness/communication range is a circular shape (or as circular as possible) of certain radius. Achieving such range in different environments requires power control. Lower part of the figure shows an idealized transmit power profile to adapt to the intersection environment for vehicle X as it travels through the intersection.](image1.png)

![Fig. 2. Depending on the application context, which includes the speed of the vehicle, traffic context and the type of currently active application [39], vehicles can have different target awareness ranges. For example, vehicle Y can be going at a lower speed than vehicle Z, in which case it might require smaller awareness range. Similarly, vehicle Z might be executing a safety-critical application (e.g., emergency vehicle notification), in which case it requires larger awareness range.](image2.png)
application context). Once the technology is deployed at a large scale (i.e., with communication equipment installed in most vehicles), such traffic will translate to unwanted interference.

In addition to NAR and RNAR, we also analyze the performance of DCC in terms of the following metrics.

- **Average Message Rate** shows the number of messages that a vehicle can transmit per second, averaged over all vehicles for a given second.
- **Average Transmit Power** shows the average transmit power messages that a vehicle transmits, averaged over all vehicles for a given second.
- **Channel Busy Ratio (CBR)** is defined as the proportion of channel time where the energy measured on the channel is above the Clear Channel Assessment (CCA) threshold.

3. Proposed ECPR algorithm

In this section, we describe the proposed ECPR (Environment-and Con-text-aware Combined Power and Rate Distributed Congestion Control) algorithm. The goal of ECPR is to satisfy the requirements of target awareness levels for different application contexts in different realistic propagation environments, along with utilizing the available channel resources. Due to possibly different application contexts and environments, the vehicles will have different target awareness ranges and different target rates. To that end, ECPR uses power to control awareness range (distance) for the vehicles, whereas it uses rate to utilize the channel resources as allowed by the awareness requirements. In other words, ECPR attempts to satisfy the awareness requirements, at the same time maximizing the rate of messages through rate control. If the vehicles require low rates in order to not overload the channel, ECPR will set the transmit power of the vehicles to a maximum value. However, when the channel load increases (either due to higher rate requirements or due to an increased number of vehicles), ECPR is able to reduce the power in order to support such scenarios by considering the awareness requirement. Below we explain how power and rate control components are implemented, along with the way they are combined to reach the above mentioned goals.

3.1. Power adaptation for awareness control

The power adaptation component of ECPR adapts the transmit power based on the current target awareness range set by the application context. ECPR is capable of adapting to dynamic scenarios with varying application contexts and in different environments without requiring explicit knowledge about the surroundings, such as map information. To do so, it needs to estimate the channel path loss for all vehicles from which a message has been received the past time segment $t$. Consequently, each vehicle requires knowledge of the transmit power level of the messages sent from each of its neighbors. The value of neighbor’s transmit power information can be transmitted in the form of an integer value (e.g., between 0 and 33 dBm), which can be piggybacked in the transmitted messages (e.g., in cooperative awareness messages or in data packets).

To adjust the transmit power in order to meet the awareness requirement, ECPR use Path Loss Exponent (PLE) estimation. The transmit power adaptation algorithm is described as follows:

- **Define**: Ego vehicle: The vehicle that is currently estimating its DCC parameters; Neighbor: Vehicle from which ego vehicle received a message within time segment $[t−1, t]$ sec
- **Given**: Ego vehicles’ transmit power at time $t$: $P^{tx}_{ij}(t)$; $i$th neighbor’s transmit power at time $t$: $P^{tx}_{i}(t)$, where $i = 1, ..., N$ (N: Known number of neighbors within range); Target awareness range of ego vehicle $r_e(t)$; Target awareness percentage of ego vehicle within $r_e(t)$ (Target NAR described in Section 2.2) : $\text{TA}_{NAR}(t)$
- For each received message, calculate $d_{ij}(t)$, distance between ego vehicle and $i$th neighbor at time $t$ when message was received
- Select neighbors that are within target awareness range $r_e(t)$; select messages which are received from neighbors within $r_e(t)$
- Compute $\text{PLE}_{ij}(t)$ (PLE for message $j$ from neighbor $i$) by using log-distance path loss as per [45]:

$$\text{PLE}_{ij}(t) = \frac{P_{ij}(t)}{10 \log_{10} \left( \frac{4\pi d_{ij}(t)}{\lambda} \right)}.$$ (1)

where $\lambda$ the signal wavelength and $P_{ij}(t)$ is the path loss for message $j$ of neighbor $i$:

$$P_{ij}(t) = P^{tx}_{ij}(t) - P^{rx}_{ij}(t).$$ (2)

where $P^{tx}_{ij}(t)$ and $P^{rx}_{ij}(t)$ are the transmit (Tx) of neighbor $i$ and receive (Rx) power of $j$th message from neighbor $i$, respectively.
- Calculate ego’s nodes transmit power required to reach $i$th neighbor for next time step, $P^{tx}_{e-i}(t+1)$, using $\text{PLE}_{ej}(t)$ and calculating the mean transmit power required for messages received from $i$th neighbor (with the mean over messages taken so as to counter the effects of fading):

$$P^{tx}_{e-i}(t+1) = \frac{1}{m} \sum_{j=1}^{m} P^{rx}_{ij}(t) + 10 \text{PLE}_{ij}(t) \log_{10} \left( \frac{4\pi}{\lambda} r_e(t) \right).$$ (3)

- Set ego node’s transmit power for next time step $(t+1)$ by considering the target awareness distance $r_e(t)$ and target aware-
ness percentage \( T_A(t) \), provided as input of the application context. Sort the required transmit power to each neighbor and select \( T_A(t) \)th percentile transmit power:

\[
P_{\text{sorted}}^e(t) = \text{sort}_N^{-1}\left( P^e_{ij}(t+1) \right), \quad (4)
\]

\[
P^e_{ij}(t+1) = P_{\text{sorted}}^e \left[ \text{round}\left( T_A(t) \ast N \right) \right]. \quad (5)
\]

Implicitly, by estimating the PLE from the received messages to adjust the transmit power, CPCR estimates what are the “worst” channels with all vehicles within the awareness range \( r_e \) (i.e., not only those from which a vehicle received messages correctly). By receiving messages from enough neighbors, CPCR gets an idea at what transmit power messages need to be sent at in order to reach the vehicles in \( r_e \). In other words, by using PLE estimation, CPCR attempts to reach even those vehicles from which the ego vehicle has not yet received a message. As long as the received power is higher than the carrier sensing threshold, the transmit power at the next time step for the corresponding neighbor can be estimated. For extreme cases, such as very large path loss with a short distance, potentially more than one neighbor will suffer from a large path loss issue in the current environment. In that case, CPCR will evaluate Eqs. (4) and (5) and keep the transmit power high in order to reach the target awareness. The frame error level (less than \( < 5\% \)) is neglected since the impact on performance is minimal. It will be shown in Section 4 that CPCR is a robust adaptation mechanism even in situations with significant MAC layer collisions.

### 3.2. Rate adaptation

In this work, we employ the Linear MEssage Rate Integrated Control (LIMERIC) algorithm [11] to perform the rate adaptation aspect of CPCR due to its ability to converge to a fair and efficient channel utilization. LIMERIC takes the current channel busy ratio (CBR) and the current beacon rate as an input to the rate adaptation algorithm. The next beacon rate is adjusted to keep the current CBR under the threshold CBR, which is set to 0.6 in this paper [6]. The next message rate \( R_i(t) \) adaptation is done by Monte Carlo iteration at each ego node as defined below:

\[
R_i(t) = (1 - \alpha)R(t)(t - 1) + \text{sign}(R_g - R_e(t - 1)) \ast \text{min}[\beta, \beta + |R_g - R_e(t - 1)|], \quad (6)
\]

where \( R_i \) is the message rate, \( \alpha \) and \( \beta \) are the convergence parameters, and \( R_g \) is target rate which satisfies the threshold CBR. For a detailed description of LIMERIC, we refer the reader to Bansal et al. [11].

Recent measurement-based studies showed that message exchanges in vehicular environments are dominated by shadowing scenarios (i.e., obstruction by buildings, vehicles), where messages are both received and lost in bursts depending on the channel quality [42,46]. This implies that sending fewer high-power messages in non-LOS scenarios have a better chance of creating awareness between vehicles than sending multiple successive messages at a lower transmit power. However, the current state-of-the-art with respect to DCC algorithms do not provision for making sure that the hard-to-reach vehicles are informed via cooperative awareness message exchange. Furthermore, depending on the speed of the vehicle, the type of traffic context (e.g., congested highway, busy or empty intersection) and the type of active application [39], target regions of interest (which directly translates into awareness range) can vary for different vehicles. Rate-control-only algorithms, which are proposed for the initial iteration of V2X systems [6], cannot accommodate for different awareness ranges.

### 3.3. Combining power and rate control

Algorithm 1 describes the steps of the EPCR algorithm, whereas Table 1 summarizes the parameters used by EPCR. The proposed combined control algorithm adapts the next transmission power based on the current path loss (\( PL_{ij}(t) \)) and path loss exponent (\( PLE_e(t) \)) for each message \( (j) \) received from the neighbors (See Alg. 1: Line 1-2). If the neighbor \( i \) was already ego node's neighbor in the previous time step, the algorithm assigns the required transmit power to this neighbor based on the current \( PL_{ij}(t) \), \( PLE_{ij}(t) \), and target awareness range. Conversely, if this vehicle was not a neighbor to the ego node in the previous time step, a default value (e.g., 10 dBm or 23 dBm in our simulations) is used as needed in order for the transmission power to reach this neighbor. By using the default transmit power value, the ego node increases the probability of being heard by those nodes for which it does not know what kind of power is needed to reach them (See Alg. 1: Line 3-6). Once the ego node has the transmission power information it needs to reach each of the neighbors, it sorts these values from the least to the most. The next transmission power level of the ego node is chosen by considering the target awareness percentage. In other words, the smallest value that covers TA% for all neighbors is chosen as the next transmission power (See Alg. 1: Line 8-9). In terms of rate adaptation, EPCR adapts the rate by using the current message rate and channel load \((i.e., CR)\). The ratio of the messages received divided by the channel capacity is defined as the CBR (See Alg. 1: Line 10-11) – this is in line with the standardized CBR calculation approaches [6].

Algorithm 1: Environment-Aware Combined Power and Rate Control for Vehicular Communication (EPCR) algorithm

1. \( PL_{ij}(t) = P^T_{ij}(t) - P^{BR}_{ij}(t) \)
2. \( PLE_{ij}(t) = \frac{PL_{ij}(t)}{\log_{10}(\frac{2}{D_{ij}(t)})} \)
3. if Neighbor \( e_{ij}(t) \in \text{Neighborhood}_{e}(t-1) \) then
4. \( P^T_{e_{ij}}(t) = \frac{1}{N} \sum_{j=1}^{\infty} P^T_{ij}(t) + 10\times PLE_{ij}(t) \log_{10}(\frac{2}{D_{ij}(t)}), \) \( \) otherwise
5. else
6. \( P^T_{e_{ij}}(t) = \) DefaultTxPwr \( \)
7. \( P^T_{\text{sorted}} = \text{sort}_{\text{sorted}}\left( P^T_{e_{ij}}(t+1) \right) \)
8. \( P^T_{e_{ij}}(t+1) = \text{sort}_{\text{sorted}}\left[ \text{round}\left( T_A(t) \ast N \right) \right] \)
9. \( CBR(t) = \sum_{j=1}^{infinite} I_{MC}/C \)
10. \( BR(t+1) = (1 - \alpha)BR(t) + \text{sign}(CBR_{th} - CBR(t)) \ast \min\left[ X, b\times(CBR_{th} - CBR(t)) \right] \)
11. \( \Delta_R = T_A(t) - eNAR(t) \)
12. \( \Delta_t = \frac{T_A(t) - eNAR(t)}{\text{TR}(t)} \)
13. if \( CBR(t) < CBR_{th} \) then
14. \( \text{Apply } PI^T_e(t+1) \)
15. else
16. \( \text{if } P^T_e(t+1) \leq P^T_e(t) \) then
17. \( \text{Apply } PI^T_e(t+1) \)
18. else
19. if \( \Delta_t > y\Delta_R \) then
20. \( \text{Apply } PI^T_e(t+1) \)
21. else
22. \( \text{Apply } PI^T_e(t+1) \)
23. \( P^T_{e_{ij}}(t) \)}

Furthermore, as Algorithm 1 shows, the transmit power control takes into account the channel load (CBR), such that the transmit power is not increased if the CBR threshold is reached. The power control algorithm interacts with the rate control, such that the power and rate control “share the load” in case of high CBR.
Table 1
Parameters used in the proposed algorithm.

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Definition</th>
</tr>
</thead>
<tbody>
<tr>
<td>$t$</td>
<td>Time (sec)</td>
</tr>
<tr>
<td>$r_i(t)$</td>
<td>Target awareness range at time $t$ (m)</td>
</tr>
<tr>
<td>$P_{tx}^i$</td>
<td>Transmit Power of $j$th message from neighbor $i$ within $r_i(t)$ (dBm)</td>
</tr>
<tr>
<td>$P_{rx}^j$</td>
<td>Rx Power of $j$th message from neighbor $i$ within $r_i(t)$ (dBm)</td>
</tr>
<tr>
<td>$d_{ij}(t)$</td>
<td>$i$th neighbor’s distance within $r_i(t)$ at time when receiving message $j$ (m)</td>
</tr>
<tr>
<td>DefaultTxPwr</td>
<td>Default transmit power (dBm)</td>
</tr>
<tr>
<td>$TA_i(t)$</td>
<td>Target awareness of ego node at time $t$ (no unit)</td>
</tr>
<tr>
<td>$CBR_i(t)$</td>
<td>Channel Busy Rate at time $t$ (no unit)</td>
</tr>
<tr>
<td>$l_m$</td>
<td>Length of the $j$th message received by ego vehicle (byte/sec)</td>
</tr>
<tr>
<td>$C$</td>
<td>Capacity of channel in terms of time (byte/sec)</td>
</tr>
<tr>
<td>$a = 0.1, b = 1/150$</td>
<td>LIMERIC parameters (see Eq. (7)) (no unit)</td>
</tr>
<tr>
<td>$CBR_{th}$</td>
<td>Threshold CBR (no unit)</td>
</tr>
<tr>
<td>$\delta_t$</td>
<td>Difference between target and actual awareness (no unit)</td>
</tr>
<tr>
<td>$\delta_e$</td>
<td>The ratio of the difference between target and actual rate to target rate (no unit)</td>
</tr>
<tr>
<td>$TR(t)$</td>
<td>Target message rate at time $t$ (Hz)</td>
</tr>
<tr>
<td>$BR(t)$</td>
<td>Message rate at time $t$ (Hz)</td>
</tr>
<tr>
<td>$\gamma$</td>
<td>Awareness/rate preference coefficient (no unit)</td>
</tr>
</tbody>
</table>

Table 2
States that affect transmit power adaptation.

<table>
<thead>
<tr>
<th>State</th>
<th>CBR vs. Target</th>
<th>Awareness vs. Target</th>
<th>Rate vs. Target</th>
<th>Transmit Power attr+1</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>&lt;</td>
<td>&lt;</td>
<td></td>
<td>Apply $P_{tx}^i(t + 1)$</td>
</tr>
<tr>
<td>2</td>
<td>&lt;</td>
<td>≥</td>
<td></td>
<td>Apply $P_{tx}^i(t + 1)$</td>
</tr>
<tr>
<td>3</td>
<td>&lt;</td>
<td>&lt;</td>
<td></td>
<td>Apply $P_{tx}^i(t + 1)$</td>
</tr>
<tr>
<td>4</td>
<td>≥</td>
<td>&lt;</td>
<td></td>
<td>Apply $P_{tx}^i(t + 1)$ if $P_{tx}^i(t)$</td>
</tr>
<tr>
<td>5</td>
<td>≥</td>
<td>≥</td>
<td></td>
<td>Apply $P_{tx}^i(t + 1)$ if $P_{tx}^i(t)$ or $\delta_t \geq \gamma \delta_e$</td>
</tr>
<tr>
<td>6</td>
<td>≥</td>
<td>≥</td>
<td></td>
<td>Apply $P_{tx}^i(t + 1)$ if $P_{tx}^i(t)$</td>
</tr>
<tr>
<td>7</td>
<td>&lt;</td>
<td>≥</td>
<td></td>
<td>Apply $P_{tx}^i(t + 1)$ if $P_{tx}^i(t)$ or $\delta_t \geq \gamma \delta_e$</td>
</tr>
<tr>
<td>8</td>
<td>≥</td>
<td>≥</td>
<td></td>
<td>Apply $P_{tx}^i(t + 1)$ if $P_{tx}^i(t)$</td>
</tr>
</tbody>
</table>

$ND_i'(t)$ is the estimated number vehicles in $N_i(t)$ that detected the ego vehicle, calculated as:

$$ND_i'(t) = \epsilon \sum_{i=1}^{N} I(P_{tx}^i(t - 1) + PL_{tx-i}I(t - 1) > P_{th}^i),$$

where $I$ is the indicator function, $PL_{tx-i}I(t - 1)$ is the channel loss from ego vehicle to neighbor $i$, and $P_{th}^i$ is the receiver sensitivity threshold. Effectively, the ego vehicle uses the channel reciprocity theorem ($PL_{rx}^i = PL_{tx}^i$) [45] to estimate the proportion of its neighbors that were able to receive cooperative messages from it in the previous time step. The estimation error for the number of neighbors is defined as $\epsilon$ and is set to $[-10, 10]$. It is possible that a relatively high power signal is lost due to strong interference (which does not occur frequently since the CSMA/CA mechanism and congestion control mechanism are utilized). Hence, Eq. (8) can introduce false positive cases that can potentially lead to an inaccurate number of neighbors.

At low densities, when vehicles have a small number of neighbors, the eNAR estimate can be incorrect because of a small number of data points it needs to work with. However, in low density cases, vehicles will almost always be able to achieve the maximum rate and awareness, since the channel load at low densities will be low. Therefore, knowing the correct eNAR is not necessary. As the network density increases and vehicles start having more neighbors and they have a larger number of data points to work with (e.g., 100 instead of 10 neighbors), which makes the eNAR estimate more accurate.

4. Simulation setup

To evaluate the performance of ECPR, we implemented it in the GEMV2 V2V propagation simulator [37]. GEMV2 is a computationally efficient propagation model for V2V communications, which explicitly accounts for surrounding objects (e.g., buildings, foliage and vehicles [47]). The model considers different V2V links types (LOS, non-LOS due to static objects, non-LOS due to vehicles) depending on the LOS conditions between the transmitter and receiver to deterministically calculate large-scale signal variations. Additionally, GEMV2 determines small-scale signal variations stochastically using a simple geometry-based model that takes into account the surrounding static and mobile objects (specifically, their number and size). By implementing ECPR in GEMV2, we are
able to show how it behaves in realistic propagation conditions, including varying LOS that affects the path loss and highly dynamic network topology changes caused by transition between environments (e.g., a vehicle on a road with low vehicular density moving to a high-density intersection).

In terms of parameters, the time step used for the ECPR time step duration was set to 200 ms. For a given target range \( r \), we use a target awareness \( TA = 85\% \). We use omni-directional antennas on the vehicle roof and evaluate the DCC performance on a single channel. We set the maximum transmit power to 23 dBm and the maximum beacon rate to 10 Hz. We used the performance metrics described in Section 2.2.

To give a physical perspective to the parameters relevant for ECPR, the typical values for awareness range \( r \) are from 20 to 500 m, depending on application context; similarly, target awareness within \( r, TA \), will be dependent on the application context and can range from e.g., 50\% to 100\%; \( P_t^{TX} \) is usually limited from 0 to 23 dBm in radios used for V2V communication, whereas the message rate \( BR \) is usually set between 1 and 10 Hz for cooperative messages [6]. Communication parameters considered in this paper are summarized in Table 3.

Since the goal of this study is to show the feasibility of environment- and context-aware DCC control by leveraging the benefits of both power and rate adaptation, we choose to compare the proposed ECPR algorithm with LIMERIC (rate-only DCC algorithm), the power-control only component of ECPR, and a scenario without DCC (i.e., messages are set with fixed rate and power irrespective of the channel conditions).

### 4.1. Simulated environments

One of the most challenging scenarios for DCC algorithms is to ensure they properly function in any kind of environment. To that end, we perform simulations using the city of Newcastle upon Tyne, England as shown in Fig. 4. The region around A167 is chosen for the highway scenario. A part of the city grid around Princess Square is used to simulate an urban area. We used 1 km² area and 500 vehicles for both the highway and urban simulations. Vehicular mobility is generated using SUMO [49], whereas OpenStreetMap [49] is used to obtain the outlines of buildings and foliage for accurate propagation modeling.

#### 4.2. Application context: Varying target rate and target awareness distance

As shown in Fig. 2, depending on the application context, different vehicles can have different awareness range and rate requirements at the same time. To test ECPR with varying awareness range and rates, we perform four types of tests described in Table 4. In Test 1, each vehicle’s target awareness range is set to 90 m and target beacon rate is 10 Hz. In Test 2, the target awareness distance is 90 m and target beacon rate is different for all ego nodes. The target rate is chosen uniformly across an interval of [5, 10] Hz. In Test 3 and 4, the target awareness distances are selected uniformly at random.

### 5. Results

#### 5.1. Comparison of ECPR with LIMERIC, power-only algorithm, and no DCC

In this subsection, we compare the performance of ECPR relative to LIMERIC (rate-only algorithm), the power-control only component of ECPR (described in Section 3.1), and a scenario without DCC. To obtain a fair comparison, we use only Test 1 from Table 4 (i.e., same awareness range and rate requirements for all vehicles). We perform simulations with different default transmit power settings: these affect the initial power levels for radios employed in the ECPR and power-only adaptation scenarios, whereas...
for no DCC and rate-only DCC scenarios the default power is used throughout the simulation.

Fig. 5 shows the results for the urban environment with a target awareness range of 150 m, a default transmit power of 10 dBm. Compared to rate-only (LIMERIC), ECPR can achieve a 20% increase in points better awareness at the target distance by reducing the average rate from approximately 9 Hz to 8 Hz. This scenario can be regarded as awareness-focused, where an application (e.g., intersection collision detection) requires vehicles to be aware of other vehicles within 150 m range. In this case, it is reasonable to trade some of the rate to increase the transmit power (Fig. 5(d)) and obtain an overall better awareness, since the messages that are traded for increased awareness are likely cooperative awareness messages at lower power, which would not be able to reach all vehicles at desired range, which defeats the purpose of sending those messages in the first place. Power-only algorithm achieves awareness (NAR) comparable to ECPR; however, due to not taking channel load (CBR) into account, it would exceed the target CBR.

Fig. 6 shows results for an urban environment with target awareness range of 50 m, default transmit power of 23 dBm and showing how ECPR can achieve up to 25% better average message rate, for the same satisfying requirement of the awareness rate at target awareness range. In this scenario, because the application context allows it, ECPR can reduce the average power (Fig. 6(d)) while not jeopardizing awareness. This allows for an increase of overall throughput in the system (see Fig. 6(d)), while at the same time keeping the average CBR lower than that of rate-only algorithm (see Fig. 6(e)). In this scenario, no DCC adaptation performs as well as rate-only in terms of awareness; however, the CBR target is not satisfied. This emphasizes the need for DCC algorithms, since without adaptation there is a risk of channel overload and communication breakdown in case of high vehicular density. Note that ECPR can only adapt to awareness and rate requirements to the extent allowed by the physical surroundings (e.g., it is not possible to reach 500 m awareness range with 95% awareness rate without very high transmit power) and transmit power parameters (which we limit to 0–23 dBm range so as to comply with the capabilities of existing IEEE 802.11p radios).

In Fig. 7 the per-vehicle behavior of the CBR and rate for 100 randomly chosen vehicles is shown. Although CBR overshoots the threshold CBR at each time step for both scenarios, it happens for one time step only, specifically when new vehicles enter the simulation. In the next step, the ECPR adapts the beacon rates to keep the CBR under the threshold. Regarding per-vehicle statistics, the results show that ECPR can control the load and can meet the target rate for all vehicles whose awareness requirements and environment allow it. It is important to note that ECPR aims to reach both the target awareness range and message rate based on the application requirements and given the constraints of specific physical environment. This results in a relatively large message rate spread, since the environment dictates that some vehicles need to transmit at higher power to reach the neighbors to which it has a bad channel (e.g., those behind a corner), which in turn increases the load for those neighbors to which it has a good (LOS) channel. In other words, combined awareness and rate control will not result in the same message rate at all vehicles unless their propagation environment is the same.
In Fig. 8(a) the number of vehicles that can achieve the target message rate, 10 Hz for this experiment, is shown for rate-only and ECPR adaptations. Since ECPR adapts the transmission power to various context, transmission power is reduced if needed. As a result of adaptation on transmission power, frequency reuse is able to be used more actively and more vehicles reach the target message rate than rate-only adaptation. In addition to target rate, the number of vehicles that can achieve the awareness target, 85%, is compared in Fig. 8(b). Rate-only adaptation uses default transmission power therefore has limited capability to achieve target awareness for any kind of application while ECPR can adapt the transmission power to changing application and environment. Consequently, ECPR reaches target awareness more stably than rate-only adaptation.

ECPR is tested for different default transmission power values to see its adaptation ability to any environment and context cases. However, we use 10 dBm power and 150 m target range (low default power, high range requirement) and 23 dBm power and 50 m target range (high default power, low range requirement) to show how ECPR performs in comparatively extreme cases.

5.2. Different target rate and awareness distance sets for combined algorithm: Urban vs. highway environment

Fig. 9 shows average message rates and transmit powers for different tests. Target awareness range and message rate are denoted in Table 4. The relationship between average message rate and average transmit power is reversely proportional on each environment: the lower the average power, the smaller the message cover-
Fig. 8. The number of vehicles that can achieve the target awareness. The number of vehicles that can reach awareness target, 85%, and rate target, 10 Hz, for rate-only algorithm and ECPR. As a result of adaptation on transmission power on ECPR, frequency reuse is able to be used more actively, more vehicles reach the target message rate, and reaches target awareness more stably than rate-only adaptation.

Fig. 9. Average transmit Power and beacon rate for highway and urban environments. The relationship between average message rate and average transmit power is reversely proportional on each environment.

age, resulting in better channel reuse and higher rate. The average rate is similar in the two environments because the high density of vehicles means that the channel is loaded most of the time. Interesting to note is that in urban scenarios, the average power converges to a value lower than in highway scenarios; this can be attributed to the increased number of neighbors for the same range in urban environment. Thus, the channel becomes more congested from neighbors at shorter distance and requiring lower power to reach them. In turn, this offsets the range limitations due to obstructing buildings requiring larger power for the same range at highways.

Fig. 10 shows the difference between the target message rates and the achieved rate for both urban and highway scenarios. Since Test 1 and 3 target the maximum message rate, the difference between target and current rate is higher than in Test 2 and Test 4. In other words, in Tests 2 and 4, the target rate is on average less than maximum rate, thus the difference of achieved to target rate is less.

Fig. 11 shows the average CBR levels and their standard deviations for each time step for all tests. As expected, the test which has higher average message rate also has higher CBR values. However, average CBR values never overflow the CBR threshold, which is 0.6 with ±0.05 tolerance. Although new vehicles entering the simulation and starting at maximum transmit power join the communication at each second, ECPR adapts the power and message rate at the next time step and decreases the CBR to threshold value. In urban scenario, average CBR is higher than in the highway scenario. The reason is that each ego node needs to communicate with a larger number of neighboring vehicles in urban environment than highway due to the vehicles being concentrated around intersections [50]; combined with higher power to achieve the same awareness, this results in higher overall CBR.

The results show that ECPR can effectively adapt the power and rate to achieve the target requirements on awareness and rate given by the application context, irrespective of the propagation environment. Since it has the ability to obtain higher average rate when the awareness requirements allow it, at the same time maintaining or reducing the CBR as compared to rate-only solution, it can be used to improve the overall system throughput. Conversely, if the awareness requirements are more stringent or the propagation environment more harsh, ECPR efficiently trades rate to improve the awareness.
5.3. Effect of medium access layer collisions

To investigate the effect of Medium Access Layer (MAC) collisions on the performance of ECPR, we perform simulations with the same network conditions as for the scenario shown in Fig. 5 (Target Awareness 85%, Target Awareness Distance = 150 m, default Tx Power = 10 dBm), with increased loss due to MAC collisions (note that results in Fig. 5 consider no loss due to MAC collision). The collision statistics are defined as follows: when CBR is below 20%, 20–30%, 30–40%, 40–50%, 50–60%, and above 60%, MAC layer collision causes 0%, 1%, 3%, 7%, 10%, 30% packets drops, respectively. These parameters are selected to represent harsh conditions caused by progressively increasing collisions with the increase in channel load [51]. Compared with Fig. 5, Fig. 12(a) and (b), shows that the effect of MAC collisions is quite limited in terms of the key performance metrics of ECPR (NAR, RNAR); similarly limited difference can be observed in Fig. 12(c)--(e) in terms of the resulting network parameters (message rate, transmit power, and CBR). Therefore, we conclude that ECPR utilizes channel as effective as possible while keeping CBR under the threshold even in the face of MACe collisions. In Fig. 12(c), the dip points are how network parameters react to changes without any adaptation yet. The ECPR adapts the parameters to the optimum values every 200 ms by considering the resource limitations.

Hidden node problem is another access layer consideration that can be caused by the propagation environment layout as well the transmit power variations. To illustrate the issue, consider the scenario in Fig. 1, where two vehicles on perpendicular roads are trying to transmit to vehicle in the center of intersection; if those two vehicles cannot “hear” each other, they create the hidden node problem on the vehicle in the intersection. For each of A’s neighbors, we check if that neighbor can “hear” from A’s other neighbors. Each pair of A’s neighbors that cannot hear each other is counted as potentially causing a hidden node problem at A. Thus, the percentage of hidden nodes is computed as the proportion of potentially hidden node pairs to total number of communication pairs. The results in Table 5 show that ECPR results in comparative percentage of hidden node pairs as LIMERIC (i.e., ECPR does not increase the probability of hidden nodes).

Table 5

<table>
<thead>
<tr>
<th></th>
<th>Transmit Power = 23 dBm</th>
<th>Transmit Power = 10 dBm</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Awareness Range = 50 m</td>
<td>Awareness Range = 150 m</td>
</tr>
<tr>
<td>50 Vehicle</td>
<td>12.9%</td>
<td>11.9%</td>
</tr>
<tr>
<td>100 Vehicles</td>
<td>22.4%</td>
<td>23.2%</td>
</tr>
<tr>
<td>50 Vehicles</td>
<td>8.5%</td>
<td>8.7%</td>
</tr>
<tr>
<td>100 Vehicles</td>
<td>17.4%</td>
<td>16.5%</td>
</tr>
</tbody>
</table>

6. Conclusions

In this paper, we proposed a combined rate and power DCC algorithm that efficiently achieves the target awareness and rate requirements given by the application context (e.g., target applications, vehicle speed, traffic density) in varying propagation environments. By using path loss exponent estimation, ECPR adapts the transmit power to reach the target awareness range. ECPR controls the channel load by adjusting the rate and power according to the current channel load, awareness range, and rate information. We perform realistic simulations, incorporating real world information about mobile and static objects (vehicles, buildings, and foliage) and test ECPR in scenarios with varying LOS conditions, highly dynamic network topology, and different environments (highway and urban). We show that ECPR has the ability to obtain higher rate when the awareness requirements allow it, improving the average rate by 15%, while keeping the target awareness and channel load. If the awareness requirements are more stringent or the propagation environment more harsh, ECPR efficiently trades rate to im-
prove the awareness by up to 20 percentage points. ECPR can be implemented atop existing DCC solutions with little effort, as the only additional information it requires is the transmit power of the message that can be piggybacked in the message itself.

References


Fig. 12. Target Awareness 85%, Target Awareness Distance = 50 m, default Tx Power = 23 dBm. Urban Scenario with MAC collisions.